

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/812,468	03/30/2004	John C. Gano	970194U1D1R1D2USA

CONFIRMATION NO. 3146

020558  
KONNEKER & SMITH P. C.  
660 NORTH CENTRAL EXPRESSWAY  
SUITE 230  
PLANO, TX 75074

## FORMALITIES LETTER



\*OC000000013408201\*

Date Mailed: 08/02/2004

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The specification is missing.  
*A complete specification as prescribed by 35 U.S.C. 112 is required.*
- The signature of the following inventor(s) is missing from the oath or declaration:  
*James R. Longbottom*

**Items Required To Avoid Processing Delays:**

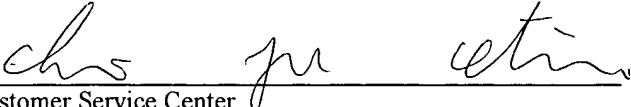
The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: John C. Gano et al.  
Serial No.: 10/812,468  
Filed: March 30, 2004  
Entitled: EXPANDABLE WELLBORE  
JUNCTION  
Group Art Unit: 3672  
Examiner: G. Suchfield

**RESPONSE TO NOTICE OF INCOMPLETE APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is filed in response to the Notice of Incomplete Nonprovisional Application dated August 2, 2004. The Notice states that the specification is missing, and that the signature of James R. Longbottom is missing. These issues were discussed with Rick Hayden of the Initial Patent Examination Division by telephone on August 12, 2004.

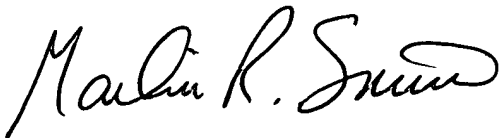
This application is a division of a reissue application. Thus, according to the rules applicable to reissue practice, the specification is a copy of the original patent, U.S. Patent No. 6,189,616. A copy of this patent was provided when this divisional application was filed. Enclosed are copies of the application transmittal letter with certificate of mailing showing that the specification was filed, and the stamped postcard receipt showing that the specification was received at the PTO. For your convenience,

also enclosed is another copy of the specification (U.S. Patent No. 6,189,616). As discussed with Mr. Hayden in our telephone conversation, this is sufficient proof that the specification was actually filed, and that this divisional application should have been accorded a filing date.

Regarding the lack of an inventor's signature on the declaration, a petition to accept the application without the signature of a joint inventor under 37 CFR §1.47(a) was filed in the parent reissue application. The rules do not require that such a petition be filed in divisions of a parent application when the petition was properly filed in the parent application. Enclosed is a copy of the Decision According Status Under 37 CFR §1.47(a) in the parent application. As discussed with Mr. Hayden in our telephone conversation, the signature of James R. Longbottom on the declaration is not required in this divisional application.

Respectfully submitted,

KONNEKER & SMITH, P.C.



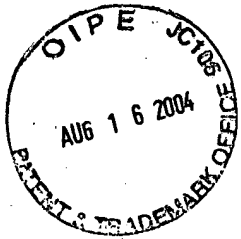
Marlin R. Smith  
Attorney for Applicants  
Registration No. 38,310

Dated: *Aug. 12, 2004*

660 North Central Expressway  
Suite 230  
Plano, Texas 75074  
(972) 516-0030

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

on AUGUST 13, 2004  
Sherna Stufles



The PTO "received" stamp imprinted herein acknowledges the filing of:

DATE MAILED: MARCH 30, 2004  
TITLE OF INVENTION: EXPANDABLE WELLBORE JUNCTION  
INVENTOR(S): GANO ET AL. 22858 U.S. PTO  
SERIAL NO.: UNASSIGNED 10/812468  
FILING DATE: HEREWITH  
DOCKET NO.: 97019401D1R1D2 USA  
EXPRESS MAIL LABEL NO.: EV34113177US

- ☒ CERTIFICATE OF 1ST CLASS EXPRESS MAILING  
☐ NEW APPLICATION (\_\_\_\_ pgs)  
☐ ASSIGNMENT(S) & REC. (\_\_\_\_ pgs) (\_\_\_\_ ea)  
☒ DECLARATION/POA: SIGNED UNSIGNED (\_\_\_\_ pgs)  
☒ DRAWINGS: FORMAL/INFORMAL (\_\_\_\_ pgs) FIGS FIG 10  
☒ IDS (\_\_\_\_ pgs) WITH/WITHOUT REFERENCES; PTO/SB/08 (\_\_\_\_ pgs)  
☒ TRANSMITTAL LETTER (\_\_\_\_ pgs)  
☒ CONTINUATION/DIVISIONAL APPLICATION (\_\_\_\_ pgs)  
☒ AMENDMENT (\_\_\_\_ pgs) PTOL 55B FORM (\_\_\_\_ pgs)  
☒ (\$ 950.00) # 9631 FILING FEE

RECEIPT/SERIAL NO.:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: John C. Gano, Tommie A. Freeman, John S.  
Bowling and James R. Longbottom

Entitled: EXPANDABLE WELLBORE JUNCTION

Prior Group Art Unit: 3672

Prior Examiner: George A. Suchfield

**RULE 53(b) DIVISIONAL  
APPLICATION TRANSMITTAL LETTER**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EV34113177US

Sir:

This is a request for filing a divisional application, under 37 CFR §1.53(b), of pending prior application serial no. 10/367,619 filed on February 14, 2003, of John C. Gano, Tommie A. Freeman, John S. Bowling and James R. Longbottom entitled EXPANDABLE WELLBORE JUNCTION.

(XX) Enclosed are 33 pages of specification, including claims (U.S. Patent No. 6,189,616), 16 sheets of drawings (FIGS. 1-10), a copy of the declaration filed in the prior application, a Preliminary Amendment and an Information Disclosure Statement.

The filing fee is calculated below on the basis of claims as filed in the prior application, less any claims canceled by preliminary amendment:

FOR	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$770	\$770
TOTAL CLAIMS	30-20	10	\$ 18	\$180
INDEP. CLAIMS	1-3	0	\$ 86	\$ 00
MULTIPLE DEPENDENT CLAIMS = 0			\$290	
			TOTAL	950.00

- (XX) A check in the amount of \$950.00 is enclosed herewith in payment of the total fee.
- (XX) The prior application is assigned of record to Halliburton Energy Services, Inc.
- (XX) Address all future communications to: CUSTOMER NUMBER 020558

Respectfully submitted,

KONNEKER & SMITH, P.C.



Marlin R. Smith  
Attorney for Applicants  
Registration No. 38,310

Dated: *Mar. 26, 2004*

660 North Central Expressway  
Suite 230  
Plano, Texas 75074  
(972) 516-0030

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Mailing Label No. EV341131777US

on MARCH 30, 2004  
Shenna J. J. J.